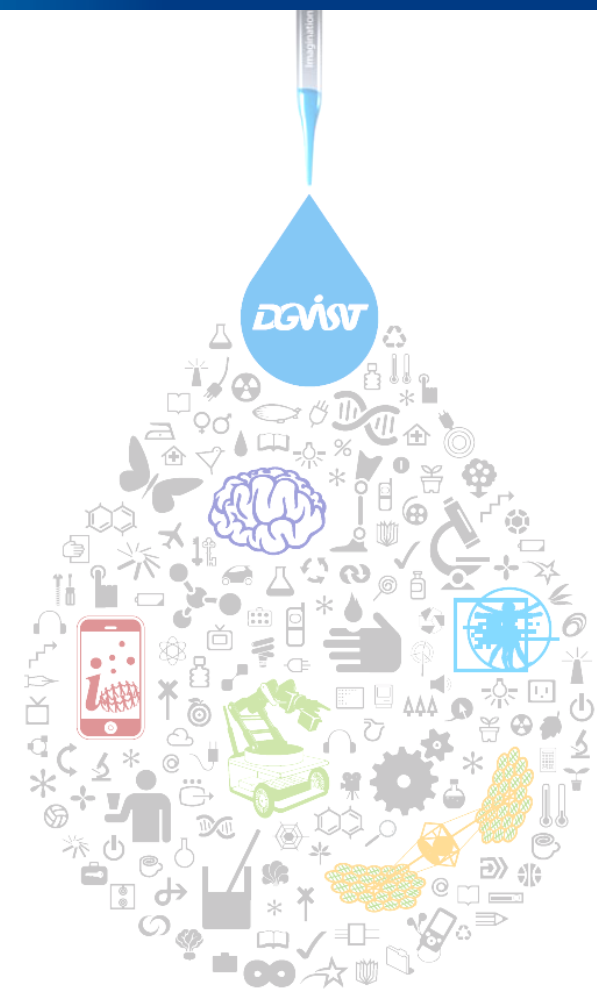


# IC611: Database Systems Design

## Relational Algebra

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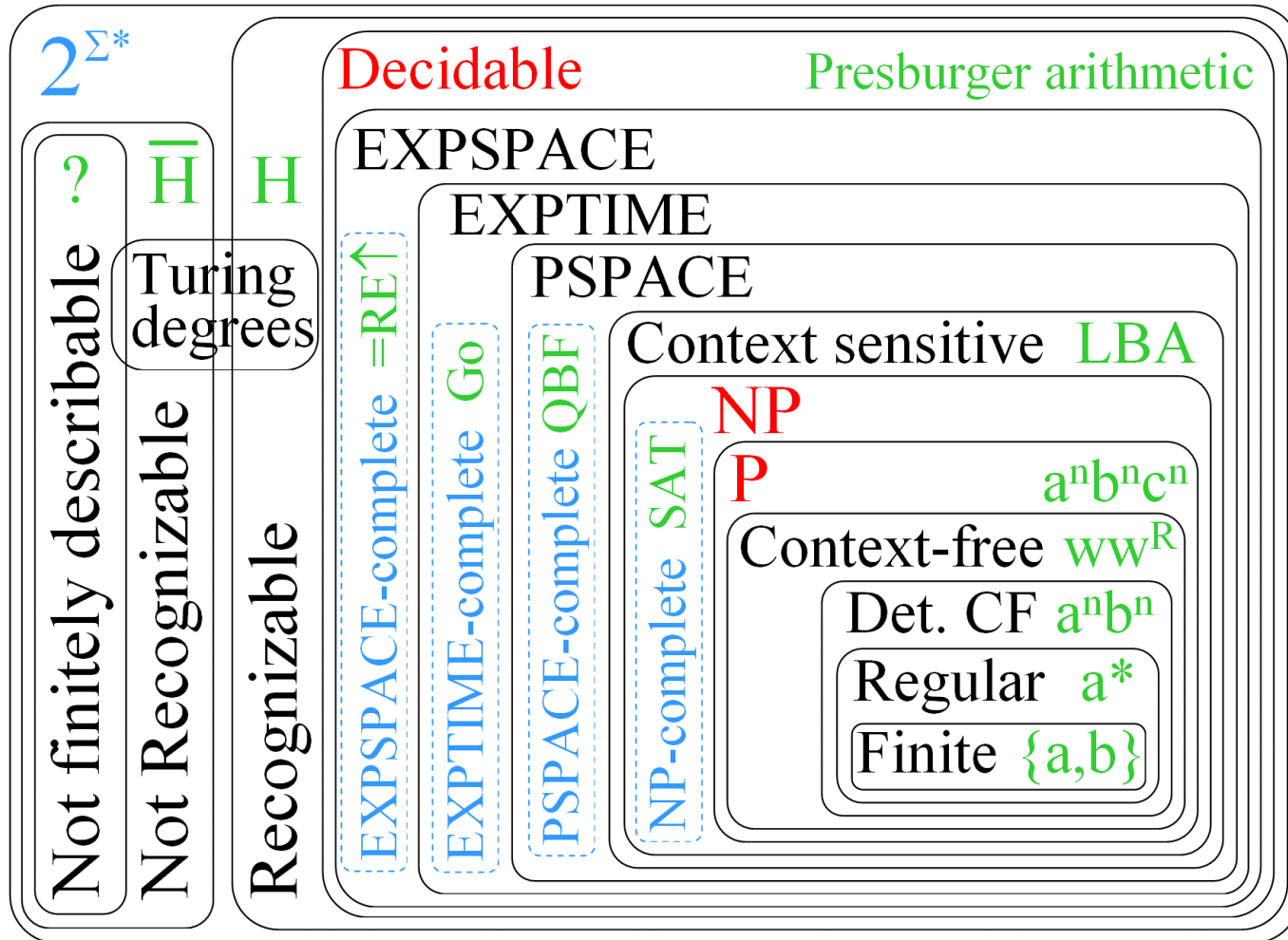
# Relational Query Languages

- **Query languages:** Allow manipulation and **retrieval of data** from a database
- **Relational model supports simple, powerful QLs:**
  - Strong formal foundation based on logic
  - Allows for much optimization
- **Query Languages != programming languages!**
  - QLs not expected to be “Turing complete”
  - QLs not intended to be used for complex calculations
  - QLs support easy, efficient access to large data sets

# Chomsky hierarchy

Grammar	Languages	Automaton	Production rules (constraints)
Type-0	Recursively enumerable	Turing machine	$\alpha \rightarrow \beta$ (no restrictions)
Type-1	Context-sensitive	Linear-bounded non-deterministic Turing machine	$\alpha A \beta \rightarrow \alpha \gamma \beta$
Type-2	Context-free	Non-deterministic pushdown automaton	$A \rightarrow \gamma$
Type-3	Regular	Finite state automaton	$A \rightarrow a$ and $A \rightarrow aB$

# The Extended Chomsky Hierarchy



# Formal Relational Query Languages

- Two mathematical Query Languages form the basis for “real” languages (e.g. SQL), and for implementation:
  - **Relational Algebra:** More operational, very useful for representing execution plans
  - **Relational Calculus:** Lets users describe what they want, rather than how to compute it (Non-operational and declarative)

# Preliminaries

- A query is applied to **relation instances**, and the result of a query is also a **relation instance**
  - Schemas of input relations for a query are fixed
    - But, query will run regardless of instance!
  - The schema for the result of a given query is also fixed!
    - Determined by definition of query language constructs
- **Positional vs. named-field notation:**
  - Positional notation easier for formal definitions
  - Named-field notation more readable
  - Both used in SQL

# Example Instances

- “Sailors” and “Reserves” relations for our examples
- We’ll use positional or named field notation
  - assume that names of fields in query results are ‘inherited’ from names of fields in query input relations

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

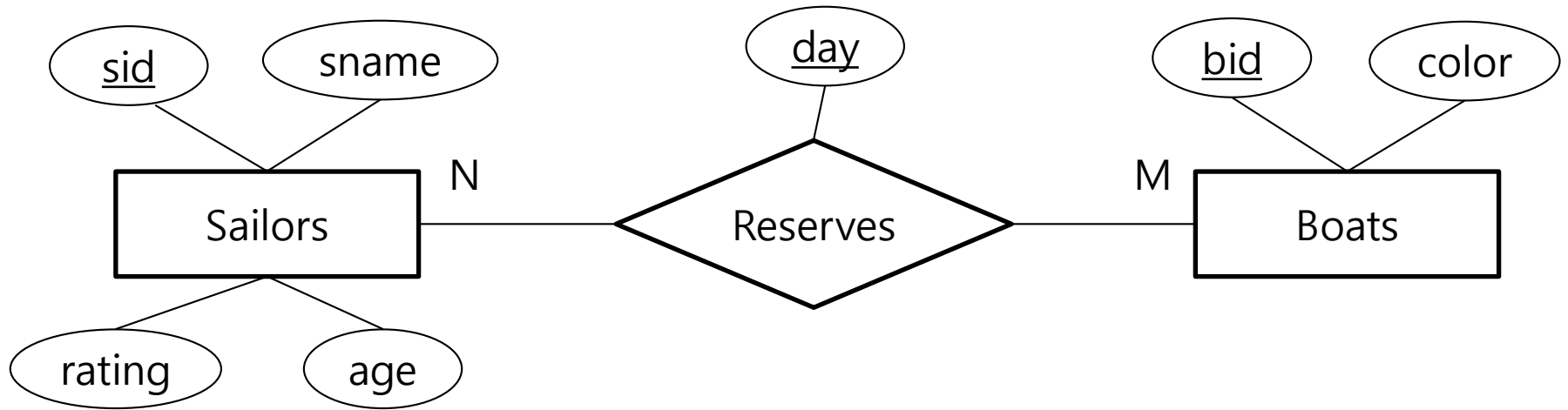
*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*S2*

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

# ER diagram





# Relational Algebra

## ■ Basic operations:

- **Selection** (  $\sigma$  ) Selects a subset of rows from relation
- **Projection** (  $\pi$  ) Extracts wanted columns from relation
- **Cartesian-product** (  $\times$  ) Allows us to combine two relations
- **Set-difference** (  $-$  ) Tuples in relation 1, **but** not in relation 2
- **Union** (  $\cup$  ) Tuples in relation 1 **and** in relation 2

## ■ Additional operations:

- Intersection, **join**, division, renaming: Not essential, but (very!) useful

- Since each operation returns a relation, operations can be composed! (Algebra is “**closed**”)

# Projection

- Deletes attributes that are not in projection list

**S2**

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$\pi_{sname, rating}(S2)$

sname	rating
yuppy	9
lubber	8
guppy	5
rusty	10

# Projection (Cont'd)

**S2**

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$\pi_{age}(S2)$

age
35.0
55.5

# Projection (Cont'd)

- **Schema** of result contains exactly the fields in the projection list, with the same names that they had in the (only) input relation
- Projection operator has to **eliminate duplicates!** (Why??)
  - Note: real systems typically don't do duplicate elimination unless the user explicitly asks for it (Why not?)

# Selection

- Selects rows that satisfy **selection condition**
- No duplicates in result! (Why?)
- Schema of result **identical to** schema of (only) input relation
- Result relation can be the input for another relational algebra operation! (**Operator composition**)

S2

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$\sigma_{rating > 8}(S2)$

sid	sname	rating	age
28	yuppy	9	35.0
58	rusty	10	35.0

S2

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$$\pi_{sname, rating}(\sigma_{rating > 8}(S2))$$

sname	rating
yuppy	9
rusty	10

# Union, Intersection, Set-Difference

- All of these operations take two input relations, which must be **union-compatible**:
  - Same number of fields
  - Corresponding fields have the same type
- What is the schema of result ( $R \cup S$ )?
  - Identical to the schema of  $R$



*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*S2*

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$S1 \cup S2$

sid	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0
44	guppy	5	35.0
28	yuppy	9	35.0

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*S2*

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

*S1-S2*

sid	sname	rating	age
22	dustin	7	45.0

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*S2*

<u>sid</u>	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

$S1 \cap S2$

sid	sname	rating	age
31	lubber	8	55.5
58	rusty	10	35.0

# Cartesian-Product (a.k.a. Cross-Product)

- Each row of S1 is paired with each row of R1
- Result schema has **one field per field of S1 and R1**, with field names 'inherited' if possible

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

*S1 × R1*

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/10/96
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	22	101	10/10/96
31	lubber	8	55.5	58	103	11/12/96
58	rusty	10	35.0	22	101	10/10/96
58	rusty	10	35.0	58	103	11/12/96

*Conflict:* Both S1 and R1 have a field called *sid*

# Renaming Operator ( $\rho$ )

- $\rho (R(F), E)$  : takes  $E$  and return an instance of a (new) relation called  $R$ 
  - $E$  is a relational algebra expression  $E$
  - $F$  is a list of terms having the form oldname $\rightarrow$ newname or position $\rightarrow$ newname
- Example:  $\rho (C(1 \rightarrow \text{sid1}, 5 \rightarrow \text{sid2}), S1 \times R1)$

# Joins

- **Condition Join:**  $R \bowtie_c S = \sigma_c (R \times S)$
- **Result schema same as that of cross-product**
- **Fewer tuples than cross-product**
  - might be able to compute more efficiently
- **Sometimes called a **theta-join****

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

$S1 \bowtie_{S1.sid < R1.sid} R1$

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	58	103	11/12/96
31	lubber	8	55.5	58	103	11/12/96



# Joins

- **Equi-Join:** A special case of condition join where the condition  $c$  contains only equalities
- Result schema similar to cross-product
  - but, only one copy of fields for which equality is specified
- **Natural Join:** Equijoin on all common fields

$R \bowtie S$

# Relationship among joins

*S1*

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

*R1*

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

$S1 \bowtie_{sid} R1$

sid	sname	rating	age	bid	day
22	dustin	7	45.0	101	10/10/96
58	rusty	10	35.0	103	11/12/96

# Reduction and Expansion

- c.f., Aggregation

# Division

- Not supported as a primitive operator, but useful for expressing queries like:
  - Find sailors who have reserved all boats *“all” depends on an instance*
- Let A have 2 fields, x and y; B have only field y:
  - $A/B = \{ \langle x \rangle \mid \exists \langle x, y \rangle \in A \ \forall \langle y \rangle \in B \}$
  - i.e., A/B contains all x tuples (sailors) such that for every y tuple (boat) in B, there is an xy tuple in A
  - or: If the set of y values (boats) associated with an x value (sailor) in A contains all y values in B, the x value is in A/B
- In general, x and y can be any lists of fields; y is the list of fields in B, and x U y is the list of fields of A

# Examples of Division A/B

sno	pno
s1	p1
s1	p2
s1	p3
s1	p4
s2	p1
s2	p2
s3	p2
s4	p2
s4	p4

pno
p2

*B1*

pno
p2
p4

*B2*

pno
p1
p2
p4

*B3*

sno
s1
s2
s3
s4

*A/B1*

sno
s1
s4

*A/B2*

sno
s1

*A/B3*

# Expressing A/B Using Basic Operators

- **Division is not essential op; just a useful shorthand**
  - (Also true of joins, but joins are so common that systems implement joins specially)
- **Idea: For A/B, compute all x values that are not 'disqualified' by some y value in B**
  - x value is **disqualified** if by attaching y value from B, we obtain an xy tuple that is not in A

Disqualified x values:  $\pi_x ((\pi_x(A) \times B) - A)$

$A/B$ :  $\pi_x(A) -$  all disqualified tuples

## Find names of sailors who've reserved boat #103

- **Solution 1:**  $\pi_{sname}((\sigma_{bid=103} Reserves) \bowtie Sailors)$
- **Solution 2:**  $\rho(Temp1, \sigma_{bid=103} Reserves)$   
 $\rho(Temp2, Temp1 \bowtie Sailors)$   
 $\pi_{sname}(Temp2)$
- **Solution 3:**  $\pi_{sname}(\sigma_{bid=103}(Reserves \bowtie Sailors))$



**S1**

<u>sid</u>	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

**R1**

<u>sid</u>	<u>bid</u>	<u>day</u>
22	101	10/10/96
58	103	11/12/96

- **Solution 1:**  $\pi_{sname}((\sigma_{bid=103} Reserves) \bowtie Sailors)$
- **Solution 3:**  $\pi_{sname}(\sigma_{bid=103}(Reserves \bowtie Sailors))$

# Query Optimization

# Find sailors who've reserved a red or a green boat

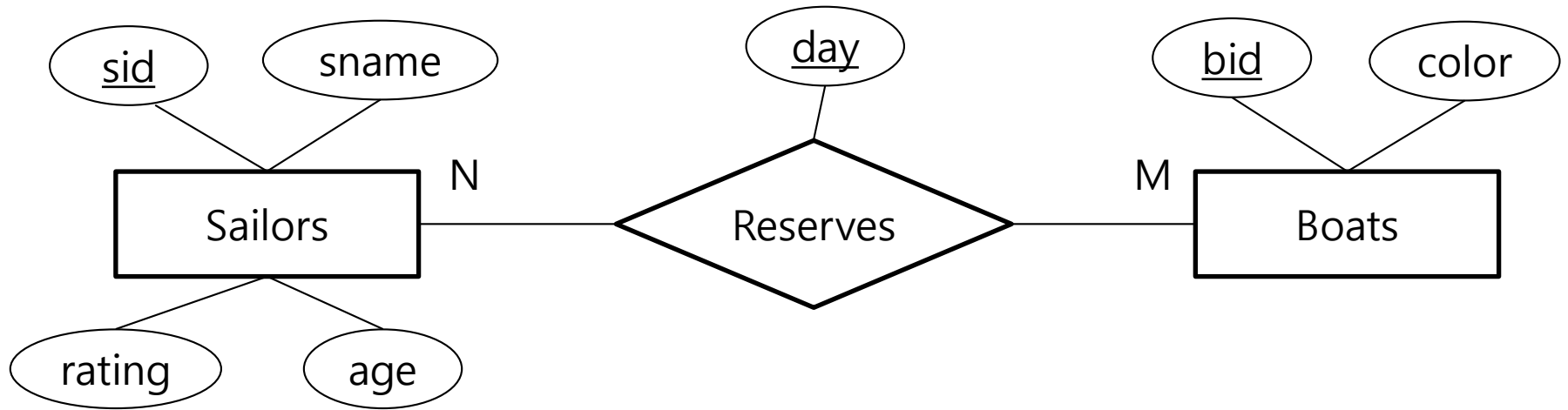
- Can identify all red or green boats, then find sailors who've reserved one of these boats:

$$\rho (Tempboats, (\sigma_{color='red' \vee color='green'} Boats))$$

$$\pi_{sname}(Tempboats \bowtie Reserves \bowtie Sailors)$$

- Can also define *Tempboats* using union! (How?)
- What happens if  $\vee$  is replaced by  $\wedge$  in this query?

# ER diagram



# Find sailors who've reserved a red and a green boat

- Previous approach won't work!
- Must identify sailors who've reserved red boats, sailors who've reserved green boats,
- Then find the intersection (**note that sid is a key for Sailors**)

$$\rho \text{ (Tempred, } \pi_{sid}((\sigma_{color='red'} Boats) \bowtie Reserves))$$
$$\rho \text{ (Tempgreen, } \pi_{sid}((\sigma_{color='green'} Boats) \bowtie Reserves))$$
$$\pi_{sname}((Tempred \cap Tempgreen) \bowtie Sailors)$$

# Find the names of sailors who've reserved all boats

- Uses division; schemas of the input relations to / must be carefully chosen:

$$\rho (Tempsids, (\pi_{sid,bid} Reserves) / (\pi_{bid} Boats))$$

$$\pi_{sname} (Tempsids \bowtie Sailors)$$

- To find sailors who've reserved all 'Interlake' boats:

$$\dots / \pi_{bid} (\sigma_{bname='Interlake'} Boats)$$

# Summary

- The relational model has rigorously defined query languages that are simple and powerful
- Relational algebra is more operational; useful as internal representation for query evaluation plans
- Several ways of expressing a given query; a query optimizer should choose the most efficient version